

EXPRESS MAIL CERTIFICATE (37 CFR 1.10)

Express Mail Label No. EL598335781US

Date of Deposit September 21, 2001

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Name: Marie G. Duden

Signature Marie G. Duden

September 21, 2001

Commissioner for Patents  
BOX CPA  
Washington, D.C. 20231

**RECEIVED**  
 SEP 26 2001  
 TECH CENTER 1600/2900

RE: Serial No. 09/473,872  
 Applicant: Yoon  
 Filed: December 28, 1999  
 For: Novel Gene Therapy Methods for the Treatment of Skin Disorders  
Docket No. JEFF-YOO01 (840933-20038)

Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

1. A Continued Prosecution Application Request Transmittal;
2. A Preliminary Amendment;
3. A Petition for A Three-Month Extension of Time pursuant to 37 C.F.R. § 1.136(a);
4. A check in the amount of \$355.00 to cover the CPA filing fee;
5. A check in the amount of \$220.00 to cover additional claims;
6. A check in the amount of \$445.00 to cover the Three-Month Extension of Time;
7. Information Disclosure Statement under 37 CFR § 1.97(b);
8. Form PTO-1449 (with copies of 13 Other Documents);
9. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents

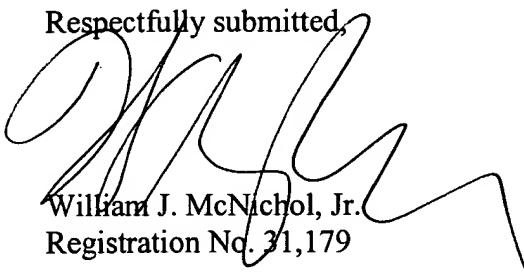
2500 One Liberty Place	Delaware
1650 Market Street	New Jersey
Philadelphia, PA 19103	New York
215.851.8100	Pennsylvania
Fax 215.851.1420	Virginia
	Washington, DC

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Commissioner for Patents  
September 21, 2000  
Page 2

The Commissioner is hereby authorized to charge any additional fees due, or credit any overpayment to  
Deposit Account No. 18-0586.

Respectfully submitted,



William J. McNichol, Jr.  
Registration No. 31,179

CPA/16358  
415  
9-27-01  
PZ

**CONTINUED PROSECUTION APPLICATION (CPA)**  
**REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing.  
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:  
☐ DUPLICATE

Address to:

Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231

Attorney Docket No.  
of Prior Application

JEFF-YO001

First Named Inventor

Yoon

Examiner Name

Brunovskis,

Group Art Unit

1632

Express Mail Label No.

EL598335781

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),  
(continued prosecution application (CPA)) of prior application number 09 / 473,872,  
filed on 12/28/99, entitled Novel Gene Therapy Methods for the Treatment of  
Skin Disorders

**NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on \_\_\_\_\_  
under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:  
\_\_\_\_\_
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
 

a. <input checked="" type="checkbox"/> PTO-1449	09/25/2001 STEFFERA 00000139 09473872
b. <input checked="" type="checkbox"/> Copies of IDS Citations	01 FC:231 355.00 CP
	02 FC:203 160.00 CP
	03 FC:202 40.00 CP

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (i))		40 -20* =	20	x \$ 18 =	\$ 360.00
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))		4 -3** =	1	x \$ 80 =	80.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ _____ =	
				BASIC FEE (37 CFR 1.16)	\$710.00
				Total of above Calculations =	\$1,150.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.27).					
* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.				TOTAL =	\$575.00

6. ☒ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. \_\_\_\_\_:

- a. ☐ Fees required under 37 CFR 1.16.  
 b. ☐ Fees required under 37 CFR 1.17.  
 c. ☐ Fees required under 37 CFR 1.18.

8. ☒ A check in the amount of \$ 355.00. is enclosed. for CPA fee.

9. ☐ Payment by credit card. Form PTO-2038 is attached. Check for \$220.00 fee calculation.

10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of \_\_\_\_\_ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.

11. ☐ New Attorney Docket Number, if desired \_\_\_\_\_

[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]

12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)

b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

13. ☐ Other: \_\_\_\_\_

**NOTE:**

The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

**14. NEW CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label



07066

(Insert Customer No. or Attach bar code label here)

or ☐ New correspondence address below

Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			

**15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print IType)

William J. McNichol Jr.

Signature

Registration No. (Attorney/Agent)

21,479

Date

September 21, 2001

OIPE SEP 21 2001	<b>CONTINUED PROSECUTION APPLICATION (CPA)</b> <b>REQUEST TRANSMITTAL</b> Submit an original, and a duplicate for fee processing. (Only for Continuation or Divisional applications under 37 CFR 1.53(d))		CHECK BOX, if applicable: <input checked="" type="checkbox"/> <b>DUPLICATE</b>
	Address to:		

Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231

Attorney Docket No. of Prior Application	JEFF-YO001
First Named Inventor	Yoon
Examiner Name	Brunovskis, P.
Group Art Unit	1632
Express Mail Label No.	EL598335781US

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- ☒ A preliminary amendment is enclosed.
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  - ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
 \_\_\_\_\_  
 \_\_\_\_\_
  - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed:
  - ☒ PTO-1449
  - ☒ Copies of IDS Citations

(Page 1 of 2)

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